
Haringey Schools Forum

THURSDAY, 16TH JANUARY, 2014 at 16:00 HRS - PROFESSIONAL DEVELOPMENT CENTRE, DOWNHILLS PARK ROAD, TOTTENHAM, LONDON N17 6AR.

AGENDA

1. CHAIR'S WELCOME

2. APOLOGIES AND SUBSTITUTE MEMBERS

Clerk to report.

3. DECLARATION OF INTEREST

Declarations are only required where an individual member of the Forum has a pecuniary interest in an item on the agenda.

4. MINUTES OF THE MEETING OF 2 DECEMBER 2013 (PAGES 1 - 8)

5. MATTERS ARISING

6. THE SCHOOLS 2014/15 INTERNAL AUDIT PROGRAMME (PAGES 9 - 12)

To advise the Schools Forum of the programme of work to be undertaken in 2014/15 and details of planned training/workshop session for schools.

7. GROWTH FUND 2013/14 (PAGES 13 - 20)

To inform members of the location of former John Loughborough School pupils in Haringey schools and the implications of different options on the Growth Fund.

To inform members of the criteria for accessing KS1 Class- Size Funding.

8. HIGH NEEDS BLOCK 2013/14 AND 2014/15 (PAGES 21 - 28)

To inform members of the pressure on the High Needs Block in 2013/14. To inform members of the indicative High Needs Funding for 2014/15 and the proposed High Needs Block in 2014/15.

9. DEDICATED SCHOOLS BUDGET 2014/15, INCLUDING SCHOOLS BLOCK AND PROPOSALS FOR CENTRALLY RETAINED BUDGETS 2014/15 (PAGES 29 - 48)

To update members on the indicative Schools Budget for 2014/15. To consider the budgets that the Council wishes to retain or de-delegate in 2014/15.

10. FEEDBACK FROM WORKING GROUP/PROJECT (VERBAL)

- Alternative Provision

11. WORK PLAN 2013/14 (PAGES 49 - 50)

12. ANY OTHER URGENT BUSINESS

13. DATE OF FUTURE MEETINGS

- 26 February 2014
- 14 May 2014
- 3 July 2014

14. CONFIDENTIALITY

To consider any items which should be treated as confidential.

**MINUTES OF THE SCHOOLS FORUM MEETING
MONDAY 2 DECEMBER 2013**

Schools Members:

Headteachers:

Special (1) - *Martin Doyle (Riverside),
Children's Centres (1) - Julie Vaggers (A) (Rowland Hill),
Primary (7) * Dawn Ferdinand (The Willow), *Fran Hargrove (St Mary's CE), *Will Wawn (Bounds Green) *Cal Shaw (Chestnuts), *Julie D'Abreu (Devonshire Hill), * Nic Hunt (Weston Park) * James Lane (St Francis de Sales)
Secondary (2) Alex Atherton (A) (Park View), Tony Hartney (A) (Gladesmore),
Primary Academy (1) *Linda Sarr (A) (St Ann's),
Secondary Academies (2) *Simon Garrill Heartlands, Michael McKenzie (A) (Alexandra Park)

Governors:

Special (1) Vik Seeborun (The Vale)
Children's Centres (1) *Melian Mansfield (Pembury)
Primary (7) Miriam Ridge (Our Lady of Muswell), *Asher Jacobsberg (Welbourne), Louis Fisher (Earlsmead), *Laura Butterfield (Coldfall), Andreas Adamides (A) (Stamford Hill), *Jan Smosarski (Bruce Grove), Sandra Carr (A) (St John Vianney)
Secondary (3) *Liz Singleton (Northumberland Park), * Imogen Pennell (Highgate Wood), Keith Embleton (Hornsey)
Primary Academy (1) Vacancy
Secondary Academy (1) *Marianne McCarthy (Heartlands),

Non School Members:-

Non – Executive Councillor - *Cllr Zena Brabazon
Professional Association Representative - Vacancy
Trade Union Representative - *Pat Forward
14-19 Partnership - June Jarrett
Early Years Providers - *Susan Tudor-Hart
Faith Schools - *Mark Rowland
Pupil Referral Unit – *Gordon McEwan

Observers:-

Cabinet Member for CYPS (*Cllr Ann Waters)
Education Funding Agency * Sarah Carrick (for part)

Also attending:

Steve Worth, Finance Manager (Schools)
Wendy Sagar, Interim Head Finance (CYPS)
Carolyn Banks, Clerk to Forum
Jon Abbey, Assistant Director, CYPS
Ngozi Anuforo - CYPS
Paul Senior, Interim Consultant

* Members present
A Apologies given

LAURA BUTTERFIELD N THE CHAIR

MINUTE NO.	SUBJECT/DECISION	ACTION BY

**MINUTES OF MEETING OF THE SCHOOLS FORUM
MONDAY 2 DECEMBER 2013**

1.	CHAIR'S WELCOME In the absence of the Chair the Vice Chair welcomed everyone to the meeting	
2.	APOLOGIES AND SUBSTITUTION MEMBERS	
2.1	Apologies for absence were received from Alex Atherton, Mike McKenzie Tony Hartney and Sandra Carr. The Forum wished to place on record their thanks to Alex Atherton for his contributions to the Forum over the last 8 years.	
	Peter Catling was substituting for Julie Vaggars.	
3.	DECLARATION OF INTEREST (Agenda Item 3)	
3.1	There were none.	
4.	MINUTES OF MEETING HELD ON 24 October 2013 (Agenda Item 5)	
4.1	AGREED: The minutes of the meeting were agreed as a true record.	
5.	MATTERS ARISING SW reminded Forum that a report on the Schools Funding Formula would be presented to Cabinet on 17 December. The Vice Chair informed the Forum of a change of meeting date from 22 to 14 May 2014.	All to note
6.	ALTERNATIVE PROVISION (Agenda Item 6)	
6.1	PS provided the Forum with a further update on the future of PRU's in the borough. It was noted that the PRU Management Committee had passed a motion to progress the process for converting the PRU (primary and secondary) to becoming an Alternative provision academy from April 2014.	
6.2	PS outlined the action that the LA would be taking up to conversion, the continuation to improve existing provision, and the plans to engage local schools and partners.	
6.3	The draft timetable for transformation and conversion was noted by the Forum.	
6.4	The Vice Chair spoke about the importance of ensuring that affected pupils and their families being informed of the changes at the earliest opportunity.	
6.5	In response to a question from MMc around quality assurance PS advised that although the Local Authority would become the commissioner of the service they would still be working in partnership with the provider to shape and design the provision. Additionally the LA would still challenge if necessary and they would be making universal	

**MINUTES OF MEETING OF THE SCHOOLS FORUM
MONDAY 2 DECEMBER 2013**

	visits. The LA would also retain responsibility for pupil tracking.	
6.6	<p>The Forum noted that if a young person was excluded from the PRU responsibility to provide suitable provision would still rest with the LA and PS advised that there were many options available, currently there were 9 local providers, which was likely to increase to 25 across the North London Sub region.</p> <p>In terms of governance arrangements the Academy would set up a local Management Committee reporting to an overarching tri-borough Committee.</p>	
6.7	With regard to the funding arrangements SW advised that this was £8k per place with further top ups.	
6.8	The Forum asked for a further update to their next meeting.	PS
7.	SCHOOLS BUDGET STRATEGY 2014/15 (Agenda Item 7)	
7.1	SW presented a report on the issues affecting the determination of the Dedicated Schools Grant (DSG) in 2014/15 and its allocation within the context of the Dedicated Schools Budget (DSB). The Forum was reminded that the DSG was a ring fenced government grant which could only be used for the purposes of the Schools Budget.	
7.2	The significant changes to the DSG for 2013/14 were noted, together with an estimate of the likely settlement which would be announced on 18 December.	
7.3	The previous meeting had recommended Model 2 to Cabinet as the 2014/15 schools funding formula, which included a re-balancing of budgets between the basic entitlement and funding for deprivation and Additional Educational Needs.	SW
7.4	SW outlined the components of the Schools Block which provided the delegated school budgets for maintained schools and academies. It also covered centrally retained services which it was agreed would be looked at in greater detail by a Working group and reported back to the next meeting.	sw
7.5	The Forum were reminded of the decisions that had been made around the services for which budgets must be delegated to schools but could be de-delegated by maintained schools on a phase basis. It was proposed that where delegation is approved by maintained schools, academies would be invited to buy into these services at the same time and on the same basis.	
7.6	Details of the items within the Schools Block Centrally retained, and de-delegated budgets and the High Needs block were identified. There was a concern with regard to the High Needs block around the uncertainty in terms of costs of the new responsibilities for students up to age 25 with	

**MINUTES OF MEETING OF THE SCHOOLS FORUM
MONDAY 2 DECEMBER 2013**

	SEN which began in September 2013.	
7.7	SW referred to an Appendix relating to Supplementary and Community Language schools which had been omitted for consideration previously by the Forum. It was noted that a decision on funding this provision for both 2013/14 and 2014/15 would be considered at the next meeting.	SW
7.8	In response to a query around Behaviour Support WW reminded the Forum that the LA no longer offered this following the Forum's decision last year to cease funding.	
	<p>RESOLVED:</p> <ol style="list-style-type: none"> 1. That the projected DSG be noted. 2. That the current position with regards to centrally retained budgets and de-delegated budgets be noted. 3. That a Working party of WW, TH, MM, LS, FH and JS be set up to review the Schools Block centrally retained budgets and de-delegated budgets and report back to the next meeting. 	SW
8.	UPDATE ON GROWTH FUND 2013/14 (Agenda item 8)	
8.1	The Forum were reminded of the funding changes introduced in April 2013 which allowed a local authority to top-slice a contingency for in year increases in pupil numbers and was applied equally to maintained schools and academies. It was designated to cover required in year growth in forms of entry and not general variations in numbers experienced during the year.	
8.2	Last year the Forum had agreed to allocate £1.5m to a growth fund, and it was agreed to continue this in 2014/15. The meeting was also reminded of the agreed criteria for allocating the funds. In addition it was noted that the last meeting had been agreed to add the residual budget from the closed John Loughborough School (JLS) to this fund and to meet the additional costs associated with the bulge classes at Park View for former Year 10 JLS pupils.	
8.3	Although there were many reasons why a schools could be asked to take on additional pupils it was noted that schools did not have an option to refuse in certain cases. SW agreed that more details and further clarification around these cases would be provided to a future meeting.	SW
8.4	The summary of resources and proposed allocations to date were noted. Any balance remaining at the end of the year it was noted would be carried forward and added to the formula allocations in 2014/15.	
8.5	SW reminded the Forum of their decision to fund the additional bulge classes at Park View in relation to former JLS pupils. Subsequent to this decision a request had been received from St Thomas More in respect of 31 former JLS pupils that they had taken on roll. The Forum was of the view that there was a need to establish where all the former JLS pupils had been placed before the request from St Thomas More could be	SW

**MINUTES OF MEETING OF THE SCHOOLS FORUM
MONDAY 2 DECEMBER 2013**

	considered. It was agreed that officers consider options and report back to the next meeting. It was noted that if the request was acceded to in total it could exceed the amount available in contingency. Additionally it was noted that the request could not be considered under schools in financial difficulty, as this did not apply to Academies.	
8.6	MR was of the view that the money should follow the pupils and none had been allocated. WW stated that before any final decisions were made the level of risk had to be determined.	
	<p>RESOLVED:</p> <ol style="list-style-type: none"> 1. That the allocations of expenditure against the growth fund be agreed. 2. That the balance remaining less any further allocations agreed by the Forum be rolled forward and added to the formula allocations in 2014/15. 3. That a growth fund of £1.5m for 2014/15 be agreed. 4. That following further clarification on the implications for other schools in a similar position further consideration be given at the next meeting to the request from St Thomas More for funding for the pupils they had taken on from the former John Loughborough School. 	
9.	EARLY YEARS BLOCK 2014/15	
9.1	The Forum received a report specifically on the Early Years Block of the DSB. It was noted that this block supported the Council to meet its statutory duties including funding the free early education entitlement for all three and four year olds and the free entitlement for eligible two year olds.	
9.2	The meeting noted how the Early Years Block funding was being used to meet statutory requirements; together with the delivery of the three and four year old free entitlement. In addition to the free entitlements the Forum noted the discretionary use of the DSG Early Years block funding in 2013/14.	
9.3	With regard to take up the Forum noted that there was a need for the take up of 3 year olds to increase in order to meet the DfE expectations of 94% take up (currently around 84%). The impact of achieving this level to take up would mean an additional £1.148m in DSG funding.	
9.4	From September 2013 all local authorities had a duty to provide 15 hours per week of free early education for all eligible two year olds. Eligibility it was noted was limited to those children whose parents would be eligible to claim free school meals and to looked after children.	
9.5	The DfE estimated that in Haringey, 882 two year olds would become eligible for a place within the academic year September 2013 to July 2014. In the following academic year, (September 2014 to July 2015) the entitlement would be extended to a further 800 children (taking the total to 42% of Haringey's two year olds)	

**MINUTES OF MEETING OF THE SCHOOLS FORUM
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9.6	From April 2015, the DfE would be funding the two year old programme through the Dedicated Schools Grant (DSG) on a participation-led basis, in line with the current funding arrangements for the universal three and four year old 15 hours per week free entitlement. It was therefore important that take up levels by January 2015 were high in order to mitigate against potential reductions in future levels of DSG Early Years Block funding.	
9.7	Haringey's place funding for 2013-14 had been allocated for a full financial year, although the statutory programme began mid-year. Since September 2013, take up of the entitlement had been steadily increasing but had not yet reached DfE estimated levels. By January 2014, the number of 2 year old programme places available would be 666 against an estimated number, by September 2013, of 882.	
9.8	The current delivery of the two year old programme had highlighted some key challenges for Haringey in meeting DfE's expectations. In particular the previously agreed funding rate for providers of the two year old programme of £5.18 per hour was proving to be a challenge in terms of the provision of places, and was likely to be an increasing issue bearing in mind the huge planned expansion of the programme, this it was noted was a London wide issue. WW spoke in support of the proposal to fund an increase to £6 per hour, which could be met for 2014/15 and 2015/16 from the existing ring fenced allocation for the two year old programme within the DSGs Early Year's block. However there was some concern over the additional funding required which it was noted would be funded from the discretionary element of the early years funding block. It was agreed that the Early Years Working Group would look at options that may be available. WW advised that it was important to look at early years as a whole not just the two year old funding in isolation.	
9.9	ZZ accepted that the LA was in a difficult position, but the importance of increasing the take up of two year old places outweighed any funding risks. As this was a national problem it was possible that the DfE may re-examine the matter.	
9.10	Cllr Waters confirmed that she would be recommending the increase to Cabinet. She was of the view that it was important to provide some security to providers wishing to set up early years provision. It was also noted that other local authorities had increased their rate to £6.	
	<p>RESOLVED:</p> <ol style="list-style-type: none"> 1. That the indicative funding for the Early Years block in 2014/15 be noted. 2. That the proposed allocation of the Early Years block for 2014/15 be endorsed. 3. That the additional resources required for sustaining a £6 per hour funding rate from April 2016 be identified within the Early Years block by March 2015. 4. That a change to the funding formula for the two year old 	

**MINUTES OF MEETING OF THE SCHOOLS FORUM
MONDAY 2 DECEMBER 2013**

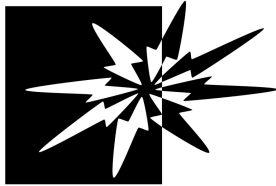
	<p>programme be recommended to Cabinet that will introduce an increase in the funding rate for providers of the two year old programme from £5.18 to £6 per hour from April 2014.</p> <p>5. That the conclusions of the early years work for 2013/14 be noted.</p>	
10.	<p>FEEDBACK FROM WORKING GROUPS (VERBAL)</p> <ul style="list-style-type: none"> • SCHOOLS BLOCK There was no report as group had not met since last forum meeting. • HIGH NEEDS There was no report as group had not met since last forum meeting 	
11.	<p>WORK PLAN FOR ACADEMIC YEAR 2013/14</p>	
	<p>The workplan had been circulated with the papers and was duly noted subject to the following additional items:-</p> <p>16 January 2014 Schools internal audit programme</p> <p>26 February 2014 Section 251 Benchmarking Scheme for Financing Schools</p>	
12.	<p>ANY OTHER URGENT BUSINESS</p>	
	<p>There was none.</p>	
12.	<p>DATE OF FUTURE MEETINGS</p> <p>16 January 2014 26 February 2014 14 May 2014 (please note change of date) 3 July 2014</p>	

The meeting closed at 5.20pm

LAURA BUTTERFIELD

Vice Chair

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Haringey Council

Report Status

For information/note ☒
 For consultation & views ☐
 For decision ☐

The Children and Young People's Service

Report to Haringey Schools Forum – 16 January 2014

Report Title: The schools 2014/15 internal audit programme.

Author: Head of Audit and Risk Management

Purpose:

To advise the Schools Forum of the programme of work to be undertaken in 2014/15; and details of planned training/workshop session for schools.

Recommendations

- I. That the Schools Forum note the planned programme of audit work for 2014/15 (Appendix A).

1. Background.

1.1 The Council's Corporate Finance service issued the Schools Finance Manual to all schools in 2007. The Manual sets out the financial regulations and procedures that schools should follow and covers all key financial and non-financial processes. In addition, Corporate Finance provides regular guidance and information to all schools in respect of the key financial and non-financial processes at schools.

1.2 Internal Audit undertakes a programme of school audit reviews to ensure that schools are complying with the requirements of the Schools Finance Manual and the risks associated with the key financial and non-financial processes are appropriately managed.

1.3 Internal audit are not required to audit the School Financial Value Standard (SFVS), which schools undertake a self-assessment of, or provide an opinion of schools' compliance with this standard. However, the programme of routine audit work should assist schools in providing the assurance to Governing Bodies for the SFVS.

2. Internal Audit schools audit programme 2014/15

- 2.1 Internal Audit will continue with a programme of routine audit work for schools in 2014/15. The planned programme of audit visits for 2014/15 is attached at Appendix A, together with the date of the school's previous audit review.
- 2.2 Internal Audit will liaise with the Head Teachers to arrange a mutually convenient time for the audit visit to take place. As is current practice, formal confirmation of the date, together with the areas to be reviewed, audit approach, and documents required for the audit will be provided in advance. The confirmation will usually be made approximately 8 weeks prior to the audit visit. One week prior to the agreed date, Internal Audit will re-confirm the audit visit with the school.
- 2.3 Assurance ratings. From 2014/15 onwards, Internal Audit will apply the following assurance ratings:
- **Substantial:** There is a sound system of internal control designed to achieve the client's objectives. The control processes tested are being consistently applied;
 - **Satisfactory:** While there is a basically sound system of internal control, there are weaknesses which put some of the client's objectives at risk. There is evidence that the level of non-compliance with some of the control processes may put some of the client's objectives at risk.
 - **Limited:** Weaknesses in the system of internal controls are such as to put the client's objectives at risk. The level of non-compliance puts the client's objectives at risk.
 - **Nil:** Control processes are generally weak leaving the processes/ systems open to significant error or abuse. Significant non-compliance with basic control processes leaves the processes/ systems open to error or abuse.

3. Pre-audit workshop

- 3.1 The current internal audit test programme for school visits was circulated to all schools in June 2013 via the Schools Bulletin. This was done to assist schools' understanding of audit requirements and to use as a basis for ensuring their own compliance with the Schools Finance Manual. It should be noted that Internal Audit may cover other areas not included in the circulated test programme if other financial and/or non-financial risks are identified during the visit.
- 3.2 As agreed at the Schools Forum in May 2013, a short workshop session was provided for school staff (finance staff, bursars, and head teachers) where audit visits were planned for the remainder of 2013/14. All schools with audits planned sent representatives to the workshop session and feedback was very positive from those who attended.
- 3.3 A further workshop session has been booked for January 2014 and an invitation has been sent to all schools listed at Appendix A. The workshop programme will be reviewed after the session in January and a decision on its continuation will be reported to the Schools Forum.

3.4 If any other schools, apart from those listed at Appendix A wish to participate in a pre-audit workshop, please contact Ibrahim Khatib, the Audit Manager, via email at ibrahim.khatib@haringey.gov.uk. Depending on demand, Internal Audit may provide an additional workshop session during 2014.

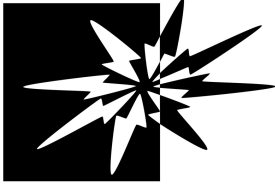
4. Recommendations.

4.1 That the Schools Forum note the planned programme of audit work for 2014/15 (Appendix A); and the pre-audit workshop planned for January 2014.

London Borough of Haringey

Schools Audit Programme 2014-15

Primary Schools		Last Audit Date
1	Bruce Grove	19.01.11
2	Chestnuts	27.07.10
3	Crowland	16.02.11
4	Devonshire Hill	04.01.11
5	Mulberry	14.09.07
6	Risley Avenue	05.05.11
7	St Francis de Sale Inf & Juniors	02.02.11
8	St Martin of Porres RC	02.02.11
9	St Mary's CE Primary (now single school)	07.07.09 & 08.07.05
Secondary Schools		
10	Park View	28.02.11
Junior Schools		
11	South Haringay Junior	16.11.11
Infant Schools		
12	South Haringay Infants	24.01.11
Nursery Schools		
13	Rowland Hill	03.10.11



Haringey Council

The Children and Young People's Service

Report to Haringey Schools Forum – 16th January 2014

**Agenda Item
7**

Report Status

For information/note
 For consultation & views
 For decision

Report Title: Growth Fund 2013-14

Authors:

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Steve Worth – Finance Manager (Schools Budget)
 Contact: 0208 489 3708 Email: Stephen.worth@haringey.gov.uk

Purpose:

To inform members of the location of former John Loughborough School pupils in Haringey schools and the implications of different options on the Growth Fund.

To inform members of the criteria for accessing KS1 Class-Size Funding.

Recommendations:

- 1. That members agree one of the options set out in Paragraph 2.4 in respect of former John Loughborough School pupils.**
- 2. That members agree to continue KS1 Class-Size Funding as set out in Paragraph 3.3.**

1. Introduction.

- 1.1. Forum on 2 December 2013 agreed allocations to date from the 2013-14 Growth Fund. Two issues were raised at that meeting that require a further report.

2. Former John Loughborough School (JLS) Pupils.

- 2.1. An Any Other Business item at the meeting identified a significant increase in pupils at St Thomas More. Because this increase was within the academy's planned numbers it would not usually attract funding from the Growth Fund. A case was made at the meeting that pupils from the former JLS were a major part of the increase and as the residual part of the budget for the former school had transferred to the Growth Fund, this Fund should be used to provide funding in these exceptional circumstances.
- 2.2. The Forum was of the view that there was a need to establish where all the former JLS pupils had been placed before the request from St Thomas More could be considered. It was agreed that officers consider options and report back to the next meeting.
- 2.3. Appendix 1 shows the destination of JLS pupils in Haringey schools. It also shows the cost of applying the formula allocation for bulge or expansion classes, a pro-rata Age Weighted Pupil Unit (AWPU) plus £500 per pupil. Depending on Schools Forum's preferred option, any balance remaining in the Growth Fund at 31 March 2013 is rolled forward and added to the total to be distributed through budget shares in the following year.
- 2.4. Options for members to consider are:
1. Not to agree to an exceptional allocation and roll forward £338k to add to school budget shares in 2014-15.
 2. Agree to an exceptional allocation using the criteria for bulge and expanding classes, with the £500 representing other funding elements and additional work relating to the exceptional circumstances. This would leave £54k to roll forward.
 3. Agree to apply the AWPU only, leaving £98k to roll forward.

3. Criteria for KS1 classes forced to exceed 30 pupils as a result of appeals.

- 3.1. At the last meeting it was noted that there were several ways in which a KS1 class could be forced to exceed 30 pupils. Further clarification was requested on the circumstances in which this funding would be triggered.
- 3.2. The legal position is:

Infant class size – *Infant classes (those where the majority of children will reach the age of 5, 6 or 7 during the school year) **must not** contain more than 30 pupils with a single school teacher. Additional children may be admitted under limited exceptional circumstances. These children will remain an 'excepted pupil' for the time they are in an infant class or until the class numbers fall back to the current infant class size limit. The excepted children are:*

a) children admitted outside the normal admissions round with statements of special educational needs specifying a school;

b) looked after children and previously looked after children admitted outside the normal admissions round;

c) children admitted, after initial allocation of places, because of a procedural error made by the admission authority or local authority in the original application process;

d) children admitted after an independent appeals panel upholds an appeal;

e) children who move into the area outside the normal admissions round for whom there is no other available school within reasonable distance;

f) children of UK service personnel admitted outside the normal admissions round;

3.3. In these circumstances, therefore, it is not necessary to take on an additional teacher; however, it has been the practice in Haringey, and other councils, to continue to provide funding for KS1 classes forced to exceed 30 pupils. This practice is recognised by the DfE in its allowable criteria and we recommend that it continue as an incentive to schools to willingly accommodate these pupils at the start of their school career. Our recommendations are:

1. That class size funding continues in the circumstances shown in Paragraph 3.2.
2. That KS1 class size funding recognises the local arrangement that requires a school to take a twin even when this puts the school over number.
3. That only one enhancement is made per school even if more than one KS1 class is over-size.

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Numbers of Ex-JLS Pupils Attending Hari		
School Currently Attending	Year 8	Year 9
Gladesmore Community School	1	1
Greig City Academy	1	2
Heartlands High School		
Hornsey School for Girls	1	3
Northumberland Park Community School	10	3
Park View	3	5
St Thomas More Catholic School	7	9
Grand Total	23	23

On-Roll Dates for Ex-JLS Pupils at St Thomas More	
On-Roll Date	Pupils
01/07/13	2
09/09/13	23
16/09/13	3
23/09/13	2
Grand Total	30

Ingey Schools		
Year 10	Year 11	Grand Total
2	4	8
9		12
1		1
3		7
3		16
6	50	64
10	4	30
34	58	138

£	4,685	
	2,733	500
	21,863	4,000
	32,795	6,000
	2,733	500
	19,130	3,500
	43,727	8,000
	38,261	7,000
	81,988	15,000
	<u>240,497</u>	<u>44,000</u>

Balance Remaining

25,863

38,795

3,233

22,630

51,727

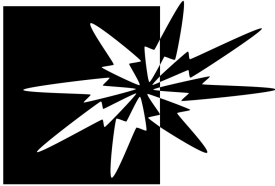
45,261

96,988

284,497

£ 338,364

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Haringey Council

The Children and Young People's Service

Report to Haringey Schools Forum – 16th January 2014

**Agenda Item
8**

Report Status

For information/note
 For consultation & views
 For decision

Report Title: High Needs Block.

Authors:

Phi DiLeo – SEN Strategy Manager

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Wendy Sagar – Interim Head of Children and Young People's Finance

Contact: 0208 489 3539 Email: wendy.sagar@haringey.gov.uk

Steve Worth – Finance Manager (Schools Budget)

Contact: 0208 489 3708 Email: Stephen.worth@haringey.gov.uk

Purpose:

**To inform members of the pressure on the High Needs Block in 2013-14.
 To inform members of the indicative High Needs Funding for 2014-15
 and the proposed High Needs Block for 2014-15.**

Recommendations:

1. That the DSG roll forward set aside as a contingency for the High Needs Block in 2013-14 is used to off-set the projected overspend on this block.
2. That the balance of the DSG roll forward is carried forward into 2014-15 to support the HNB budget for that year.
3. That the centrally retained Capital Expenditure from Revenue Account (CERA) of £489k is permanently transferred from the Schools Block into the HNB from 1 April 2014 to offset pressures in the stated top-up budget.
4. That the balance of the projected shortfall in 2014-15, £288k, is deducted from the £3.6m delegated to schools in 2013-14 to provide for the top-up requirement.

1. Introduction.

- 1.1. Members will be aware of the significant changes introduced in the Dedicated Schools Budget in 2013-14. Among them was the creation of the High Needs Block (HNB), as part of the Dedicated Schools Grant (DSG).
- 1.2. Changes were introduced in 2013-14 in the way special schools, special units and alternative providers are funded, replacing comprehensive planned place funding with a 'Place Plus' approach. This provides a fixed amount of delegated funding per place plus a 'top-up' paid by a commissioner when placing pupils. This approach, with its direct relationship between commissioner and provider removed the need for the former process of 'recoupment'¹.
- 1.3. The HNB also took on responsibility for the funding of 19-25 year olds with Special Educational Needs (SEN) in institutions of further education.
- 1.4. One of the most significant changes was in the area of statemented funding.
 - 1.4.1. In prior years the additional cost of providing for statements above a threshold of 15 hours support came from central funds.
 - 1.4.2. Under the new approach to high needs funding. mainstream providers (schools, Academies, FE colleges) are expected to contribute the first £6,000 of the additional educational support provision for high needs pupils and students from their notional SEN budget (pre-16) or a specific additional education support allocation of £6,000 for each high needs student on roll during the last academic year (post-16) – this is over and above the costs of per-pupil or per-student teaching and learning provided by the educating institution;
 - 1.4.3. Top-up funding above these levels for high needs pupils and students will be provided on a per-pupil basis by the commissioner placing the pupil – this will be agreed between the commissioner and educating institution and set out in the child's statement of special educational needs.
 - 1.4.4. In preparation for the change and the new responsibilities for schools the Forum agreed to transfer money from the High Needs to the Schools Block for delegation to schools. The transfer was based on the number of pupils with statements in schools at a point in time multiplied by £6,000; the figure produced was £4.1m.
 - 1.4.5. It was not possible to target the increased delegation to take account of the number of statements in each school as the delegation could

¹ The process whereby a local authority would receive funding for other local authority pupils with SEN in its schools and pay other local authorities for educating any pupils with SEN for which it was responsible.

only be through the funding formula and not more finely targeted². To help those schools with a disproportionate number of statements the Forum agreed that £3.6m would be delegated and the remaining £0.5m retained as a contingency to support those schools.

- 1.4.6. The delegation of this funding has put schools in a better position to meet their statutory duty but has reduced resilience within the HNB. Experience in the first year shows the pressures faced in the HNB arising from the changes and there needs to be a reconsideration of the balance of funding between the blocks.
- 1.5. The Council does not need the Forum's permission to centrally retain budgets as it does for the Schools and Early Years Blocks.

2. High Needs Block 2013-14.

- 2.1. Schools Forum on 28 February 2013 agreed the High Needs Block for 2013-14. Given the level of change within the HNB and the need to ensure provision and services to meet the needs of pupils, students and schools, the Schools Forum agreed to set up a High Needs Working Group (HNWG). The objectives of the group are to advise officers on high needs issues and review funding allocations within the High Needs block to ensure that funding meets needs and supports both the Inclusion Strategy and the proposed legislation arising from the Green Paper, *Support and Aspiration*.
- 2.2. The HNWG has met regularly and in its more recent meetings has received monitoring information on the progress of the budget. In Appendix 1 the HNB budgets are analysed under the following headings.
- 2.3. Centrally Retained Services.
 - 2.3.1. The HNWG has received information on the centrally retained services, summarised in Appendix 1. These services cover those teams providing direct services to pupils and schools, contracted arrangements with the health authorities, plus the management and support costs of the HNB and the Block's share of corporate overheads. The latter are a recharge to all services of the Council and cover corporate support costs such as finance, HR and ICT as well as all aspects of premises and utilities costs. The HNWG has reviewed these budgets and recommends their continuation into 2014-15. Overall there is a projected under-spend of £14k on these budgets, largely due to a favourable conclusion in residual recoupment income.
- 2.4. Commissioning Budgets.

² The DfE are collecting data in the January 2014 census on the number of pupils receiving 'top-ups'; possibly to introduce a more finely targeted allocation in future years. It should be emphasised that the £6k maximum contribution applies to all children with SEN, even if not stated.

- 2.4.1. The HNWG has also received monitoring information on the commissioning budgets. This has been the area giving the greatest concern due to the unknowns around the new responsibilities for students aged 19-25 in further education and the new regime in special schools and units and top-ups for statemented pupils in mainstream schools. The following areas are projecting over-spends in the current year. Recommended action is set out in 2.7 and 3.5.
- 2.4.2. Statemented Top-up. As set out in Paragraphs 1.2 and 1.4, the methodology for providing funding to in and out-borough children with SEN changed in April 2013. The residual centrally retained budgets, after the £4.1m was given to schools for them to meet their statutory contributions, need to cover the costs of the top-up for all statemented pupils. This applies to pupils in Haringey's schools and academies and pupils in out-borough schools and academies formerly covered by recoupment. The current projections are that these budgets will overspend by £985k.
- 2.4.3. Post16 Top-up. This covers the top-up for all post-16 pupils in the past funded by the former Young Peoples Learning Agency (YPLA) plus a new responsibility from September 2013 for 19-25 year olds with SEN in further education. The latter is an area of particular concern due to uncertainty about the numbers and location of students and the danger of significant price inflation. Funding was provided by the transfer of the former YPLA SEN Block Grant into the DSG plus additional funding for the new responsibilities. It has been reported previously to the Forum that the YPLA Block Grant did not fully cover costs and this shortfall plus the pressure on 19-25 funding is resulting in a projected shortfall of £45k. Although this overspend is relatively small this area remains a particular concern for the future.
- 2.5. There are some offsetting under-spends in the commissioning budget, totalling £239k, and these have been held to offset over-spends in the areas dealt with above. The net overspend in Commissioning is £791k.
- 2.6. Delegated Budgets.
 - 2.6.1. Special Schools and Special Resource Units. These budgets are determined by agreed commissioned place numbers and statutory per place funding amounts, there is therefore little scope for variance in these budgets.
- 2.7. The HNWG have received regular updates and have provided feedback to the Forum on concerns over pressures in this budget. To address this concern the Forum on 3rd July 2013 agreed to set aside the cumulative DSG under-spend of £1.1m brought forward from previous years as a contingency for the HNB. We are therefore recommending that the projected net overspend of £777k be met from this money.

3. High Needs Block 2014-15.

3.1. The indicative HNB for 2014-15 is set out in Table 1.

Table 1. Movement in Indicative High Needs Block 2013-14 to 2014-15.

Indicative High Needs Block	£000
HND 2013-14	29,920
Former Non-Maintained Special Schools Grant	23
Increase in Post 16 Funding (full year effect)	903
Removal of Carbon Reduction Commitment	-16
HNB 2014-15	30,830

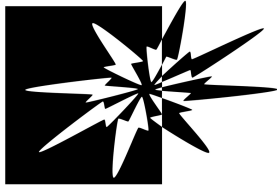
- 3.2. The increase in funding is for the full year impact of the post 16 changes, offset by the Carbon Reduction Commitment explained in the Budget Strategy Report.
- 3.3. The indicative allocation does not take account of any adjustments for increased numbers. The DfE required local authorities to notify it of planned numbers of places at the end of December. Ministers will then make decisions on any growth, taking account of the national position and available funding. We will report back to the Forum when this is known.
- 3.4. Alternative Provision. Haringey's primary and secondary Pupil Support Centres will become an academy from April 2014. The delegated funding for the agreed 58 places, £464k, will be recouped from Haringey's DSG and paid directly to the academy trust, the remainder of the former PSC budgets will remain with Haringey to pay top-up for commissioned places.
- 3.5. The HNWG in reviewing the 2013-14 budgets supported the Council's recommendation that the budgets set out in Appendix 1 be continued for 2014-15. We do not expect the pressures on this budget to moderate in 2014-15 and the following recommendations are made to contain the projected overspend in that year. The recommendations reflect the desire to remove as little as possible from delegated budgets and to avoid reducing the value of top-ups.
- 3.5.1. That the balance of the HNB contingency, see Paragraph 2.7, is rolled forward into 2014-15 to continue to support meeting the pressures on this Block.
- 3.5.2. That the centrally retained Capital Expenditure from Revenue Account (CERA) contribution in the Schools Block, £489k, is moved into the HNB to support top-up costs. This is made possible by the announcement in late December that local authorities in England are to share in an additional £2.35bn in capital funding over three years to

help them create the extra school places that will be needed by 2017. The HNWG believe the transfer of this provision to be preferable to fully recovering the shortfall as set out in Paragraph 3.5.3 given the availability of additional capital funding. There was also the desire to leave as much funding as possible in schools to meet the SEN needs of statemented and non-statemented pupils and to avoid reducing the level of top-up.

- 3.5.3. That the balance of the projected shortfall, £288k, is deducted from the £3.6m delegated to schools to provide for the top-up requirement. This will have minimal impact on schools (£9.37 per pupil on average) but will provide important additional funding in the HNB to help prevent any reduction in top-up funding.

Profit Centre/Group	DSG		
	Budget 13-14	Projected Outturn	Variance
	£	£	£
Centrally Retained Services			
- E41240 SEN Strategy Manager	142,900	142,900	0
- E41243 SEN - Admin Team	182,500	182,500	0
- E41248 SEN - Transport	500,000	500,000	0
- E41250 LOVAAS	27,900	27,900	0
- E41251 Spch&LanguageTherapy	460,000	460,000	0
- E41252 Parent Partnerp Mark	98,900	92,705	-6,195
- E41239 Visual Impairment Provision	177,000	177,000	0
- E41241 Language Support Team	458,200	445,175	-13,025
- E41247 Hearing Impairment Team	162,700	162,069	-631
- E41254 Autism Support Team	189,500	177,439	-12,061
- E43402 Directors Budget	154,891	154,891	0
- E41210 Behaviour & Alt Prov Management	196,400	242,650	46,250
- Integrated Working and family Support	1,000,000	1,000,000	0
- Overheads	1,016,074	1,016,074	0
- Prior Years Recoupment	0	-28,499	-28,499
Delegated Budgets			
- Maintained Special Schools	3,827,500	3,827,500	0
-Special Units Top-up & place funding	371,700	371,700	0
-E41215 Simmons House	103,000	103,000	0
-E41217 Tuition Service Delegated Places Budget - 55 Places	440,000	440,000	0
-E41232 PSC Secondary Delegated Places Budget - 30 Places	240,000	240,000	0
-E41233 PSC Primary Delegated Places Budget - 12	96,000	96,000	0
SEN Commissioning Budgets			
- Special Schools Top-up	6,192,300	6,146,256	-46,044
- Special Resource Unit Top-up	852,200	690,540	-161,660
- Statement Top-ups	3,687,500	4,672,615	985,115
- SEN contingency	500,000	500,000	0
- High Needs in Early Years	286,300	255,160	-31,140
- E41260 Indepndt&VoluntarySc	5,395,500	5,395,500	0
- Post 16 SEN (includes out of borough)	1,245,000	1,290,092	45,092
- E41217 Tuition Service Commissioning Budget	46,100	46,100	0
- E41232 PSC Secondary Commissioning Budget	1,342,100	1,342,100	0
- E41233 PSC Primary Commissioning Budget	284,100	284,100	0
- Roundings	586		
Net High Needs Block	29,676,851	30,453,467	777,202
Academy Recoupment	266,000	266,000	0
Gross High Needs Block	29,942,851	30,719,467	777,202

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Haringey Council

The Children and Young People's Service

**Agenda Item
9**

Report Status

For information/note
 For consultation & views
 For decision

Report to Haringey Schools Forum – 16 January 2014

Report Title: Dedicated Schools Budget 2014/15, including Schools Block and proposals for centrally retained budgets 2014/15.

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Purpose:

To update members on the indicative Dedicated Schools Budget for 2014-15.

To consider the budgets that the Council wishes to retain or de-delegate in 2014-15.

Recommendations:

1. That members note the indicative DSG for 2014-15 and the changes in Pupil Premium.
2. That members agree the retention of the services set out in Section 3.3.
3. That members agree to retain funding for:
 - a. The Music Service,
 - b. School to School Support,
 - c. Supplementary schools
 - d. Integrated Working and Family Support,
 - e. LAC Residential Placements,

- f. Corporate Overheads,**
- 4. That members agree to a permanent transfer of the CERA budget to the High Needs Block to support commissioning services.**
- 5. That primary school representatives agree to de-delegate:**
 - a. The Contingency for Schools in Financial Difficulties,**
 - b. Trade Union Duties**
 - c. Support to Underachieving Ethnic Minority Groups**
- 6. That secondary school representatives agree to de-delegate:**
 - a. The Contingency for Schools in Financial Difficulties,**
 - b. Trade Union Duties**
 - c. Support to Underachieving Ethnic Minority Groups.**
- 7. That members note the latest position on the Early Years Block.**

1 Introduction.

- 1.1 We reported to the Forum on 2 December 2013 on the expected Dedicated Schools Grant (DSG) and the other elements that form the 2014-15 Dedicated Schools Budget (DSB). This report updates the Forum with the latest information on the DSB.
- 1.2 In our previous report we outlined the budgets that the Council would seek to retain or de-delegate. The Forum on 2 December 2013 agreed to set up a working party to look at the budgets in greater detail to help inform decision making at this meeting.

2 Dedicated Schools Budget - Update.

- 2.1 We reported to the last meeting that the Pupil Premium had been confirmed at £1,300 per eligible Free School Meal (FSM) primary pupil but the secondary amount had not been confirmed. The latter has now been set at £935, substantially below the £1,200 originally projected and only £35 above that for 2013-14. For 2014-15, the Looked After Children pupil premium will increase to £1,900 and eligibility will be extended to pupils who have been looked after for one day or more, as well as children who have been adopted from care under a special guardianship or residence order. All other elements of the pupil premium remain at 2013-14 levels.
- 2.2 Concurrent with the announcement in respect of the secondary FSM rate for 2014-15, the DfE also announced that the final primary FSM pupil premium for 2013-14 would be £953, an increase of £53. This will be passported to maintained primary schools once paid to Haringey .
- 2.3 It was reported in the Chancellor's Autumn Statement that money would be made available to fund the provision of free school meals but details of both capital and revenue allocations are not yet available.
- 2.4 The indicative DSG was published on 18th December and is set out in Appendix 1. The overall sum has been reduced by £0.299m to reflect the abolition of the Carbon Reduction Commitment (CRC) as previously announced. Table 1 compares the budgets for the CRC with the reductions required; the differences will need to be contained within the DSB. The indicative settlement for each block is discussed in the following sections.

Table 1 Reduction in the Dedicated Schools Grant in respect of the Carbon Reduction Commitment.

Block	Budget	Reduction	Difference
	£000	£000	£000
Schools	220	258	-38
Early Years	21	25	-4
High Needs	14	16	-2
Total	255	299	-44

3 The Schools Block.

3.1 The Schools Block, once CRC is taken into account, is largely in line with that estimated for the December meeting. The October data on which the 2014-15 budgets will be based are now available and the Cabinet of Haringey Council agreed on 17 December 2013 the funding formula changes (Model 2) recommended by the Forum. Work is now underway on the final budget shares due to be submitted to the DfE on 21 January 2014. The submission will be informed by the decisions of this meeting. Forum on 2 December 2013 agreed to the top-slicing of £1.5m for the Growth Fund therefore the major decisions remaining in setting the budget for this block are:

- Whether to transfer funds to the High Needs Block to support children with statements; discussed further in Section 5.
- The top-slicing for centrally retained budgets.
- De-delegations.

3.2 **Centrally Retained Services.** The working party established by the Forum to consider these budgets in greater detail met on 16 December. The working party were agreed on the retention of certain budgets and required further information on others. The services are grouped according to the outcome of the working party's deliberations.

3.3 **Services that the working party were in favour of retaining:**

3.3.1 Admissions (£421.4k) which is a statutory service.

3.3.2 Schools Forum (£10k) which is also a statutory service.

3.3.3 Licences (£62k) which is a Government advised top-slice.

3.3.4 Governor Support (£135k) which is a historic commitment.

3.4 **Services for which the working party required further information (all are historic commitments).**

3.4.1 Music Service (£168k). The working party were broadly supportive of retaining this service but required information on which schools benefitted from the service; this has been provided in Appendices 2a

and 2b. The Head of Music will be present at the meeting to answer questions.

- 3.4.2 School to School Support (£390.8k). See Appendix 3.
- 3.4.3 Supplementary Schools (£26.7k). The working party were broadly supportive of this service but required further information on how provision was overseen; this will be provided at the meeting.
- 3.4.4 Integrated Working and Family Support (£350k). The working party were unclear about what support was actually provided to schools and questioned whether this should be social care rather than Schools Budget. Further information was requested on this service and is attached as Appendix 4.
- 3.4.5 LAC Residential Placements (£1,000k). The working party was broadly supportive of this but required further information. This information is provided in Appendix 5.
- 3.4.6 Corporate Overheads (£280.1k). The working party acknowledged the necessity of providing accommodation and support services but requested further information on the level of ICT recharges. This will be provided at the meeting.
- 3.4.7 Capital Expenditure from Revenue Account (CERA) (£489k). The working party recognised the need for capital investment for expanding schools. Further information was requested on capital bids to the DfE and the increase in pupil numbers. Mindful of the pressure on the High Needs Block and in order to minimise the impact on schools of this pressure, officers believe that this budget should be permanently transferred into the High Needs Block. As a result, the schools capital programme will become fully reliant on capital grants from the Government, supplemented by bids for additional funds as advised in the HNB report.

3.5 Delegation and de-delegation of services.

- 3.5.1 Contingency for Schools in Financial Difficulties (Pre-delegation budget £220k). The working party supported the continued de-delegation of the contingency.
- 3.5.2 Trade Union Duties (Pre-delegation budget £198k). The Council is recommending de-delegation of this service for 2014-15. The working party did not support this. Members of the working party were of the opinion that it should be delegated and that a Service Level Agreement would then be offered to all schools and academies. Information on this service is provided in Appendix 6 and a representative from HR will be present at the meeting.

- 3.5.3 Support to Under-achieving Ethnic Minority Groups (Pre-delegation budget £766k). Whilst requiring further information, the working party were supportive of de-delegation. See Appendix 3.

4 The Early Years Block (EYB).

- 4.1 The indicative 3 and 4 year old allocation for 2014-15 is based on January 2013 data and will be updated once the January 2014 data is available. The Two year Old funding differs because of a change in funding for extension of the free entitlement. Instead of funding all projected places for the full financial year, only those eligible under phase 1 (September 2013) will be funded in the summer term. The additional trajectory funding does not fully compensate for this. Appendix 7 illustrates the impact and financial implications from 2014-15 onwards.

5 The High Needs Block (HNB).

- 5.1 The HNB shown in Appendix 1 is indicative. Data on numbers was submitted to the DfE by all local authorities on 23 December and, depending on the national position, allocations may be adjusted.
- 5.2 There is a separate report on the HNB on this agenda. There is a projected in year overspend of £0.777m, explored in more detail in that report. The Forum will need to agree on how this funding is to be contained.

Indicative DSG 2013-14 and 2014-15

	Notes	Pupil Numbers	Funding Rate £	Schools Block £	Early Years Block £	High Needs Block £	Total £
DSG 2013-14 (July 2013)							
SB Base	1	30,589	5,878.44	179.816			
EYB Base	2	2,358	5,345.46		12.605		
HNB Base						29.920	
2 Yr Old Funding					3.699		
3 Yr Old Transition					0.900		
Induction of NQTs				0.047			
Former Non Maintained Special Schools						0.023	
Reported to Forum September 2013				179.863	17.204	29.943	227.009
DSG 2014-15 (December 2013)							
SB Base	1	30,707	5,878.44	180.509			
EYB Base	2	2,358	5,345.46		12.605		
HNB Base						29.920	
2 Yr Old Funding					5.048		
3 Yr Old Transition							
Induction of NQTs				0.047			
Net increase in post 16 funding						0.903	
Former Non Maintained Special Schools						0.023	
Removal of Carbon Reduction Funding	3			-0.258	-0.025	-0.016	
				180.298	17.628	30.830	228.755

Notes:

1. DSG allocations are before academy recoupment.
2. The two EYB Bases uses the January 2013 pupil count and will be updated when the January 2014 count is available.
3. The Carbon Reduction Commitment no longer applies to schools and education funding has been reduced to compensate the Treasury for this loss of funding.

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Music Service (£168k). Reductions in the Music Education Grant (MEG) led the Forum to agree 'That the service should be wholly or partly funded from headroom as appropriate.' In 2012-13 the contribution from DSG was £168k and any contribution in 2013-14 will be capped at this level. The Head of Music and Performing Arts presented a report to the Forum on 26th January 2012 setting out the service provided and how the DSG funding was used: £138k in supporting pupils eligible for free school meals and £30k for a music curriculum support specialist working across all sectors. As this funding is top-sliced from the schools block before the funding formula is applied the service would be available to both maintained schools and academies.

Appendix 3

Schools and Learning Directorate: Schools Forum Paper January 2014

Context: The statutory School Improvement function is a responsibility of the LA and is funded from the Council's General Fund. The school improvement offer comprises of multi-purpose teams covering the spectrum of activities from statutory intervention through to challenge and support. The overall Service is funded through a variety of means – income from schools, and also with the agreement of the Schools Forum, through the retention of the Dedicated Schools Grant centrally.

The relatively new Assistant Director for School Improvement was appointed in January 2013 and a small team of five School Improvement Advisers (SIA) in the summer 2013. The Service works alongside head teachers, school governors, academy sponsors and principals to drive up education standards.

An LA priority for school improvement is the promotion and development of the reach and impact of a sector led improvement model (School to School Support) and the commission of high quality education to schools to impact on standards and the quality of schools.

	Service Element Description
Statutory	<p>Challenging, and intervening where necessary, all schools, where children, when compared to their peers nationally, underperform and where the quality of education is not good enough.</p> <p><u>Core offer to fulfil statutory duties:</u> Each Haringey Local Authority maintained school and academy will receive an allocation of time from a Senior Improvement Adviser:</p> <ul style="list-style-type: none"> • Based on the level of concern from OFSTED, and • Local Authority gathered intelligence from other divisions. • Universal (half day) or keep in touch visit (1 to 1.5 hours) per term. <p>Where schools are intensive or enhanced they will get additional days of support.</p> <p>Monitoring KS2 National Curriculum Tests in Yr 6 and Phonics Screening Check KS1 Yr 1:</p> <ul style="list-style-type: none"> • Monitor 10% of schools for both activities; • Complete forms at each unannounced visit and return to the Standards and Testing Agency (STA). <p>Teacher Assessment Moderation of KS1 Yr 2 and KS2 Yr 2 Year 6 Writing:</p> <ul style="list-style-type: none"> • Monitor 25% of schools through announced visits; • Train moderators for both moderation exercises. All 4 exercises take place each year - schools are identified and operate on a rota/cycle receiving a visit minimum every 3 years maximum every 4 years.
Discretionary	<ul style="list-style-type: none"> • CPD (Continuing Professional Development) programme-(55 schools 2013) • Developing middle leadership • Head teacher performance management • OFSTED readiness checks • One day reviews • Leadership coaching • Providing self evaluation support • Undertaking specific tasks e.g. budget reviews of schools • HR /Employment reviews

	Service Element Description
	<ul style="list-style-type: none"> • Head teacher and deputy Head teacher conferences • NQT/Appropriate Body functions
'Grey Areas' (if any)	Swimming SLA
What service is free to academies?	Keep in Touch Visits each term (90 minutes)
Budget	Core £245K Strategic Intervention Education Services £390,800 Support to under-performing Ethnic Minority groups £504K Balance from DSG £94,900

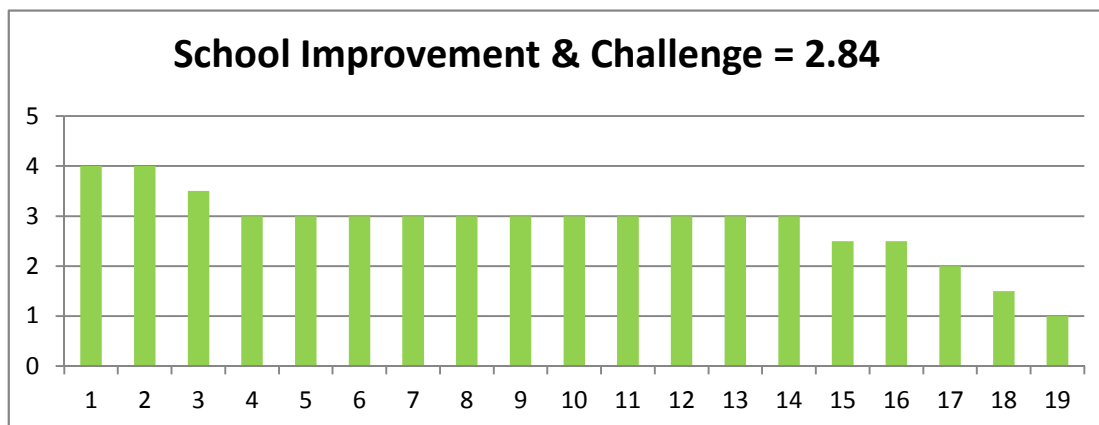
DSG Risk	If you do receive DSG, how do you propose to mitigate the risk of not receiving DSG in the future?
<ul style="list-style-type: none"> • Unable to fulfil core programme and support intensive and enhanced schools • No CPD offer, including contribution to Governor training • No data for schools (which is not charged) • Schools alienated potentially • S2SS function at risk 	Clarify requirements and need from Schools Forum Commission (sell) our services Make business case for core funding Calculate statutory offer in terms of business continuity

Planned and Actual Expenditure financial year 2013/14

Salaries-which include 1 x PA, 5 SIAS, 1x AD, 1 x Admin	£654k
Residual salary Chris Ashton	£10k
5/12 salary 1 x Data Analysis	£25k
	<u>Total £689k</u>
S2SS	£36k 3 x Head teachers weekly secondment 2012/13 £120k NLC service grant £50k historic and current consultant support directly to schools (Intensive and enhanced) £60k Schools with Executive Heads-support management costs £6k IOE Raphael Wilkins evaluation £50k brokered support for school to school support Spring 2013/ summer 2013 £36 k for 3 x Head teacher weekly secondment 2013/14(unspent) £2k Head teachers conference subsidy (2013) £2k Deputy Head teachers conference speaker subsidy (2013) £20k S2SS Special secondary <u>Total £382</u>

Miscellaneous	£15k	<u>Total £15k</u>
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**COMMISSIONING SERVICES TO SCHOOL SERVICE REVIEW SUMMARY- AUTUMN 2013-
SCHOOL IMPROVEMENT & CHALLENGE - A GOOD SERVICE**



Plus Points

- People in the team mean business – the right level of support and challenge, and provides lots of support on the back of it (this challenge);
- New team much smarter, doing what they're supposed to be doing and now part of school to school support;
- Up and coming Service since the Assistant Director's come on board. Good with outstanding features. New team that will take time to develop and grow into their roles;
- The Service is a partner of Teaching Schools, and used more for their training. Work on NRA bids exceptional;
- Link officer is a very well informed, knowledgeable and skilled practitioner. Keeps up with legislation and provides brilliant support;
- Massively improved. Really good, strong team. Understands what schools want, quality of what they produce is good even though they have limited capacity. Genuinely giving us information that helps us.

Minus Points

- Think new team is going to be good. Previously poor from every level of leadership by the Council right the way down. Now changing and understanding of schools' needs. Current big risk factor is the latest, latest Council restructure;
- Could be better but their limited capacity just covers the bare statutory responsibilities. Not enough people in the team who are of a good to exceptional quality;
- Could provide more for special schools.

Impact Assessment: Overall

- **Our support for school leaders, as part of the leadership judgement of a school is a contributory factor to Schools rated good or outstanding at Ofsted:**
- **Approximately 80% of pupils at KS1 are from ethnic minority backgrounds. This is similar across all Key Stages. At KS1 reading, Caribbean pupils have improved their average point score from 14.3 in 2010 to 15.9 in 2013. This is similar to a rise of 1 and 1/2 terms academic progress. Black African pupils improved from 14.5 to 15.8. The average improvement in Haringey was 1.1 points.**
- **At KS2 Maths Level 4+, results for Kurdish pupils have improved from 69% in 2009**

to 87% in 2013. Turkish pupils have improved from 64% to 82%. Overall results in Haringey improved from 75% to 84%.

- In 2010, 31% of Caribbean pupils obtained 5+ A* - C (including English and maths) compared to 51% in 2013. For African pupils the figure has increased from 42% to 61%. Overall results in Haringey increased from 48% to 63%.
- Primary schools - 2012: 66%; 2013: 83% (National 78%)
- Secondary schools – 2012: 75%; 2013: 100% (Nat 62%)
- Special Schools- 2012:100%; 2013: 100% (Nat 87%)
- Nursery Schools- 2012: 100%; 2013: 67% (Nat 96%)
- 9,000 more pupils attending good or outstanding schools in Haringey than one year ago (Source: Ofsted Annual Report-London 2013)
- Outcomes for pupils improving and gaps narrowing.

Areas for further development:

- Develop and enhance the role of S2SS
- Embed the role of the SIAs, enhance the partnership role with schools
- Track underperforming groups-put in programmes to support Year 3 and Year 7 pupils
- Develop the concept of school to school collaborations/ federations and the role of the Executive Head teacher
- Provide resources and tools to improve schools-meeting the recommendations of the OFA commission
- Construct a system vision for education beyond 5 years, influencing the regeneration strategy, place planning, Post 16 offer
- Align the service with Early Years Performance

Family Support Workers

What does £350k cover?

The total budget for the Family Support Worker workforce is £1.537m. The £350k funded as a historic commitment within the Schools Block covers the costs of:

- 7 full-time equivalent FSWs £280k
- 1 full-time equivalent FS Coordinator £50k
- .5 Executive Administrator £20k

Total= £350k

What do Family Support Workers do?

Providing Early Help is more effective in promoting the welfare of children than reacting later (Working Together 2013 Ch 1, Para 1). The Family Support service undertakes an assessment of need and provides targeted Early Help to address the assessed needs of the child. This is typically done by Family Support workers either by direct referral via CAF or engagement via an agency or agencies already working with the family. The work is varied ranging from parenting support to direct and targeted interventions with children and young people.

The need.

In the year 2012/3 a total of 898 CAFs were received, of these 571 related to primary and secondary age school children. These figures are consistent for the current year with a total of 416 CAFs being received in the first two quarters of 2013/14. 101 of these have been allocated to Family Support workers for direct delivery of services.

The largest single referrer of CAFs is SENCOs with 260 referrals in the first quarter of this year. In addition other school staff have made a further 8 referrals. The Family Support Service is keen to continue to support SENCOs in their work.

Developments in train/planned for the current year.

- The Children and Young People's Service is currently developing its Early Help Strategy in order to deliver the type of early intervention and support that can be provided when a child or young person's needs are not being met by routine universal services, but do not meet the threshold for a specialist service such as Children's Social Care.

- Early Help will involve working with children and families as soon as difficulties start to emerge or when there is a strong likelihood that they will emerge in the future.
- This approach will also give families the opportunity to regain control of their circumstances before they develop into more difficult issues and support the development of capacity, resilience and independence of families, children and young people.

In order to inform this strategy three “Round Table” Early Help pilots are underway at Highgate Wood Secondary School, Lancasterian Primary School and Pembury Children’s Centre. The pilot concludes in February 2014 at which point we will feed the findings into an Early Help model that will be rolled out across the Borough.

The two school-based “Round Table” have shown some good early results of coordinated planning leading towards better targeting of services. It is too early to show that this leads to improved outcomes for children and young people but we are optimistic that this will be the case.

Early outcomes indicate that schools, Children’s Centres and other referrers are benefiting from:

- **a multi-disciplinary approach that brings a range of professional skills and expertise to bear;**
- **a relationship with a trusted worker who can engage the child and their family, and coordinate the support needed from other agencies;**
- **practice that empowers families and helps them to develop the capacity to resolve their own problems;**
- **a holistic approach that addresses children’s needs in the wider family context;**
- **simple, streamlined referral and assessment processes: and**
- **the opportunity to access resources.**

The Assistant Director, Early Help and Early Years is responsible for bringing together and coordinating the Early Help resources available to CYPS. His plans include a transfer of the Social Work Assistants to the developing Early Help team to provide broader expertise and further resource during the course of this year.

In tandem the Chief Executive is leading on a Prevention, Early Help and Intervention work stream with the aim that Haringey becomes an Early Help Borough where problems are identified at the first possible opportunity with interventions

provided to address problems at first identification and before they become entrenched.

Concluding comments.

In view of the Schools Forum and Haringey commitment to Early Help and the identification of problems at the first possible opportunity it is important to maintain the current FSW complement as it is and to expand the Early Help Service as a whole.

The Early Help strategy will give Schools and Children's centres more influence on the resources required, the commissioning approach and outcomes required.

The CAF data demonstrates that Family Support Workers are directly engaging with children and young people currently in school and that the schools are thereby supported in undertaking their s11 safeguarding duties.

101 cases of school age children have been allocated Family Support in the first two quarters of this year. There is no reason to suppose that this will decrease and my expectation is that the year will see some 400 cases of school age children receiving a service from Family Support Workers. The contribution to the service of 350k is essential for this to be maintained into next year.

Appendix 5

Looked After Children (LAC) Residential Placements. (£1,000k). There is still a need to charge the DSG due to the high number of LAC placements funded by Haringey Council (508 as at the end of November 2013). Whilst a great deal of work has taken place to successfully reduce the numbers, due to their educational, health and social care complexity, this educational funding is still required. As whilst the NHS contribute to the Health component, the educational component, which is provided as part of the placement as opposed to being met through a maintained school, has grown to such an extent that it cannot continue to be met from the Council's placement budget.

Appendix 6.

Trades Union Recognition – Schools & Academies

As you will be aware Haringey Council, like other Local Authorities recognises a number of trades unions. For schools employees these unions are:

Union	Staff Represented
ASCL	Teachers
ATL	Teachers
GMB	Support Staff
NAS/UWT	Teachers
NAHT	Teachers
NUT	Teachers
Unison	Support Staff
Unite	Support Staff

As part of this recognition the Local Authority grants a fixed amount of facilities time to each trades union to enable them to give full or part time release to senior officials. In addition” as and when time off” is granted to workplace representatives in order for them to undertake appropriate trade union duties.

The costs of this time off have been funded from a central local authority fund. However under 2013 reforms the DfE requires all Local Authorities to delegate this fund in respect of school staff representation to schools but with an option to de delegate this back to the Local Authority via agreement by Schools Forum.

Most Schools Forums around the Country have agreed to de delegate this fund.

In 2013 Haringey Schools Forum agreed de delegation in respect of Primary Schools but Secondary Schools did not agree this.

As a result of this there has been some confusion regarding the position of trade union representatives in the Borough.

The purpose of this note is to hopefully clarify this matter.

The Legal Position

The law is quite clear on the position regarding trade union representation. As a key part of all relevant statutes (for example, Employment Relations Act 1999, Transfer of Undertaking (Protection Of Employment) Regulations) as well as ACAS codes of practice is the right for employees to be represented or accompanied by their trade union representative. Furthermore a recent Employment Appeal Tribunal Case (Toal and Hughes v GB Oils Limited), gives an employee the right to, within reason, nominate their representative to accompany them at a disciplinary or grievance meeting.

Consultation on a collective basis with recognised trades unions is also a statutory responsibility under legislation concerning matters such as redundancy and staff transfers.

Schools Where the Local Authority is the Employer

The effect of this is that those schools where the Local Authority is the employer is that those employees of these schools have a legal right to be represented by trade union representatives elected from the local authority's workforce both on an individual and collective basis. This is normally the senior representatives from each Branch (Branch Secretary, Branch Chair etc)

The fact that secondary schools chose not to de delegate funding for these representatives is irrelevant to this right.

Schools Where the Local Authority is not the Employer

The legal rights of staff employed by these schools are no different. However, the right to be represented by representatives elected from the employer's workforce precludes them having the legal right to be represented by Local Authority employees. This includes the senior branch officials of the recognised trades unions employed by the Local Authority. Therefore, it is a matter for those employees who are members of a recognised Trade Union to elect representatives from the school's workforce (and nowhere else). These people would be entitled to reasonable paid time off to conduct trade union duties and unpaid time off to conduct trade union activities as per the legislation. The trade union Regional Office have a role insofar as they can support and train these representatives and, of course represent their members as appropriate.

It would be possible for a school to enter into a tripartite agreement with the recognised trades unions and the Local Authority whereby in effect the Local Authority consents to releasing a trade union official employed by the Authority to

undertake trade union duties on behalf of its members employed by the school. This would need to be initiated by a formal request from the governing body of the school to the Local Authority in the first instance.

Funding

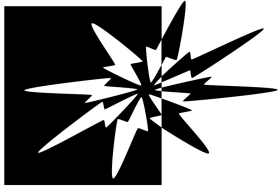
A consequence of the schools forum decision is that the budget for trades union representatives in Haringey is now projecting a shortfall. Facilities time cannot be reduced to meet this budget deficit due to the ongoing demand for trade union time to undertake duties.

Therefore the issue of funding will need to be discussed again at Schools Forum in January 2014.

With regards to schools where the Local Authority is not the employer those schools would be asked to make a payment to the Local Authority to cover a proportion of the costs of trades union representatives proportionate to the size of their trade union membership. This would be discussed as part of any tri partite agreement.

Employee Relations Protocol

In July 2013, a revised employee relations protocol was agreed in respect of school based employees representatives and management (copy attached). This forms a key part of how the Local Authority, its schools and its trades unions will work going forward. In particular it is a cornerstone of a more modern and less adversarial approach to employee relations and all parties are committed to working within it and also dealing with any breaches quickly and effectively.



Haringey Council

Agenda Item

Haringey Schools Forum - Work Plan Academic Year 2013-14

26 February 2014

Early Years Update, including Full Time Places Strategy & Capacity
Section 251 Benchmarking 2013-14

Update to Haringey Scheme for Financing Schools

Feedback from Working Groups / Project:

- Schools Block Working Group
- High Needs Block Working Group
- Early Years Block Working Group
- Alternative Provision Project

Updated Work Plan

14 May 2014

Consultation on National Funding Formula 2015-16

Extension of Free School Meals September 2014

Feedback from Working Groups / Project:

- Schools Block Working Group
- High Needs Block Working Group
- Early Years Block Working Group
- Alternative Provision Project

Updated Work Plan

3 July 2014

Dedicated Schools Budget Outturn 2013-14

School Budget Plans 2014-15

Feedback from Working Groups / Project:

- Schools Block Working Group
- High Needs Block Working Group
- Early Years Block Working Group
- Alternative Provision Project

Review of Membership

Work plan 2014-15

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